

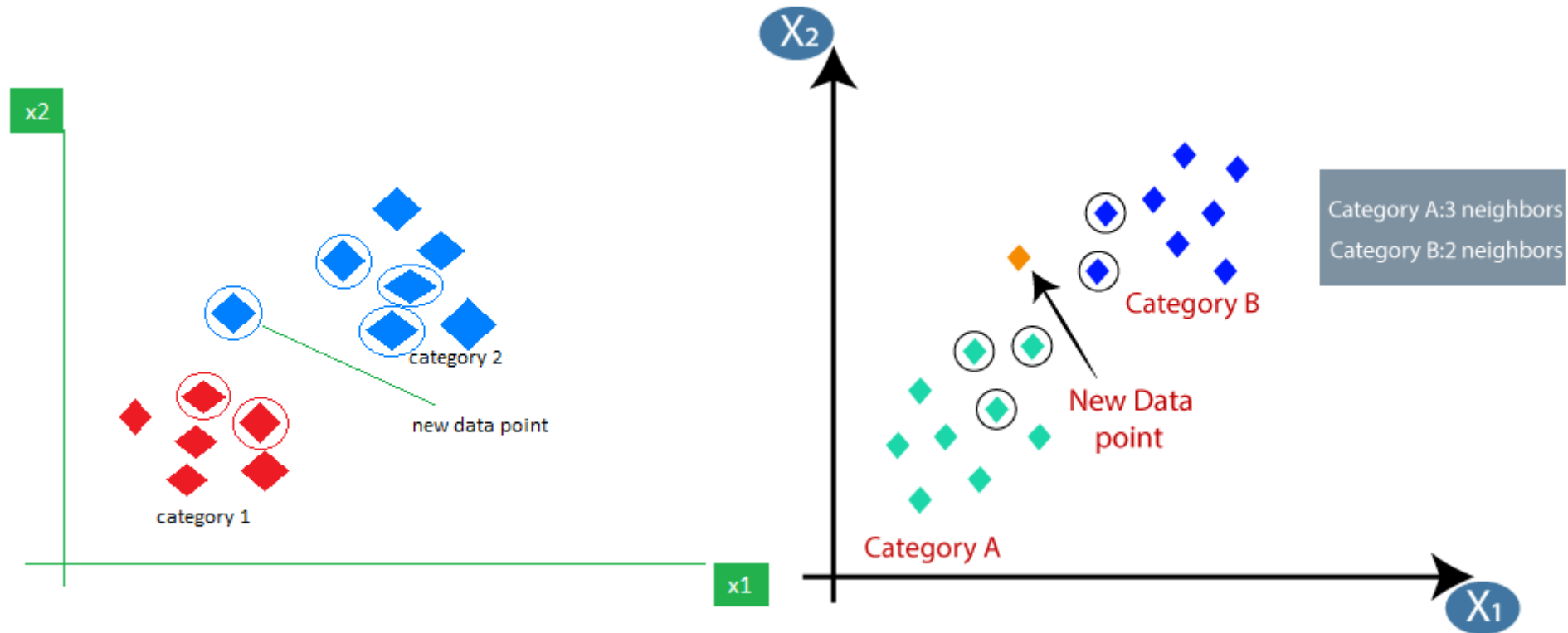
AI Algorithms – 4:

KNN, K-Means

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- Extensive experience in Software Development and Engineering (primarily in Canada)
- Significant experience in Teaching
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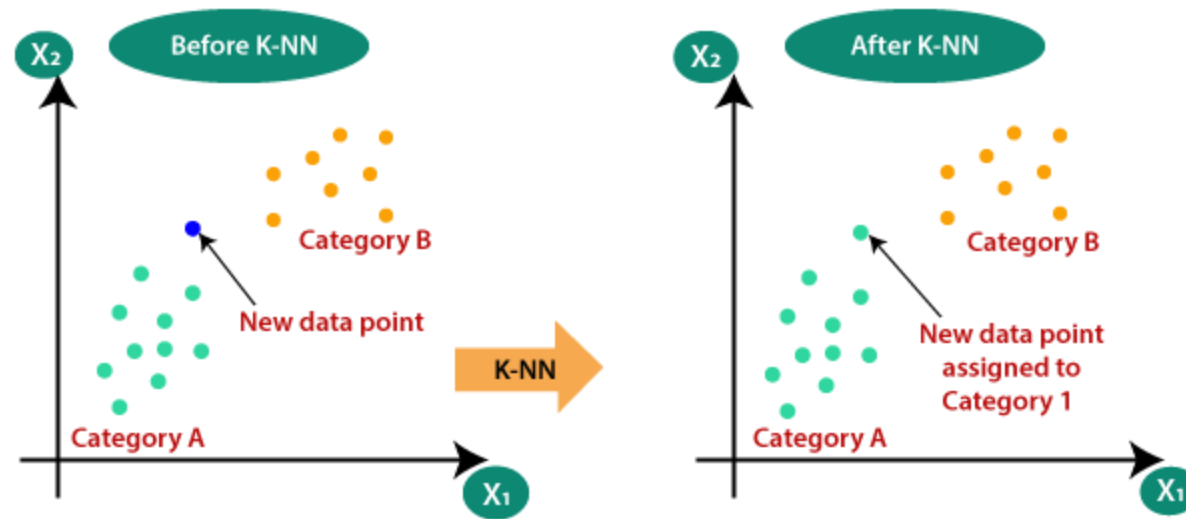


What is KNN?



- It's about: Classification, and Clustering: <https://www.geeksforgeeks.org/k-nearest-neighbours/> <https://www.javatpoint.com/k-nearest-neighbor-algorithm-for-machine-learning>

What is KNN?

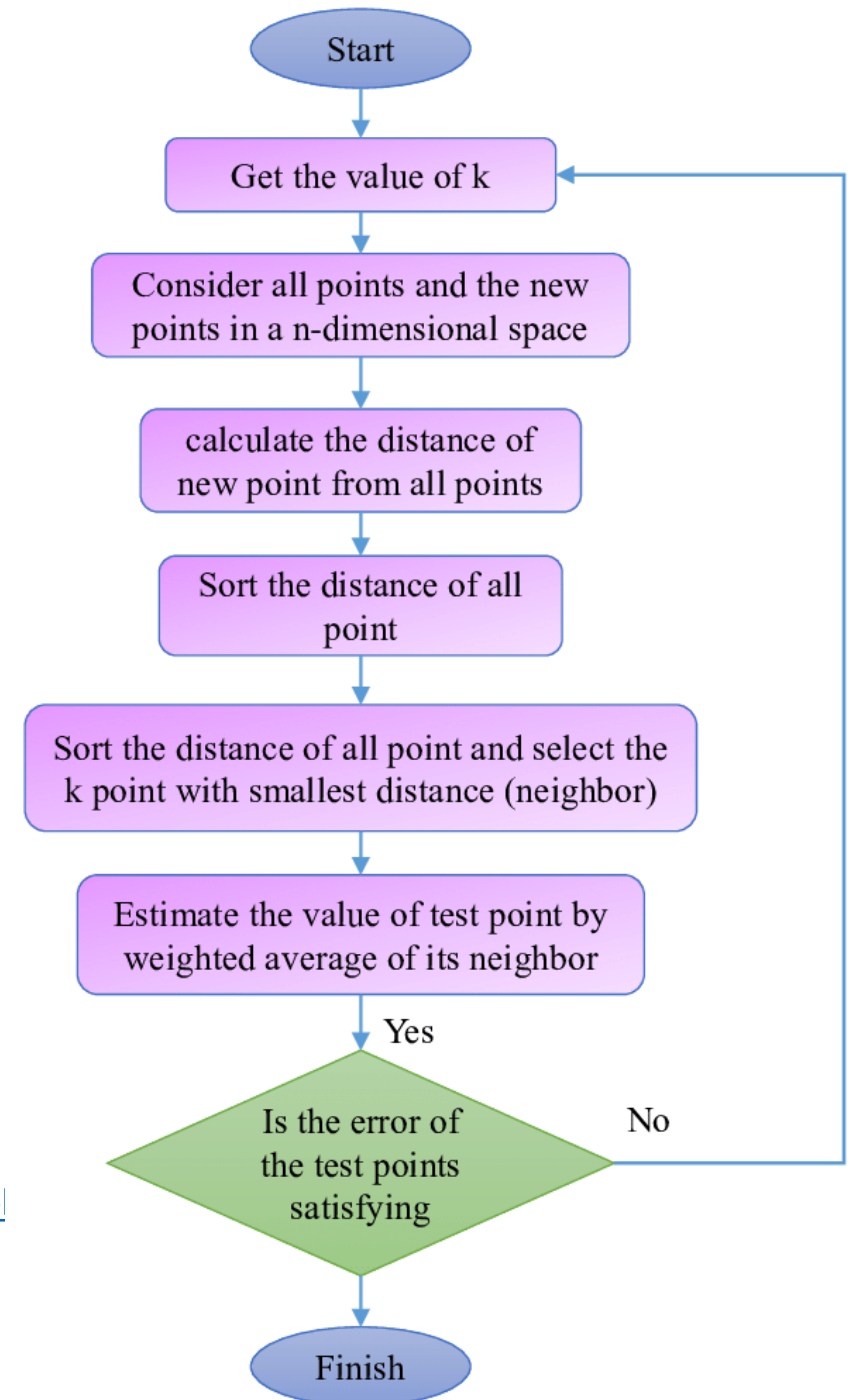


- <https://www.javatpoint.com/k-nearest-neighbor-algorithm-for-machine-learning>

KNN Modeling/Algorithm

Assign class to a new point

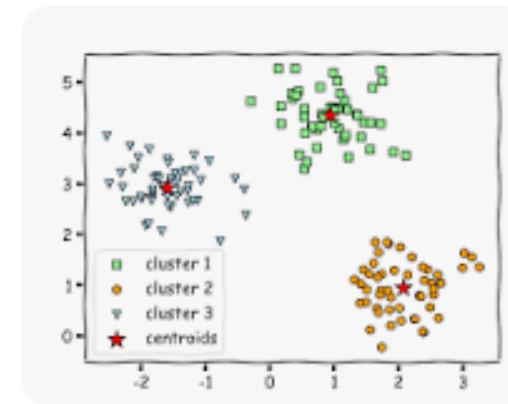
- May not be perfect. https://www.researchgate.net/figure/A-simple-flowchart-for-the-modeling_fig1_346429285



KNN-Algorithm vs KNN-Clustering

What is the difference between KNN and KNN clustering?

KNN represents a supervised classification algorithm that will give new data points accordingly to the k number or the closest data points, while k-means clustering is an unsupervised clustering algorithm that gathers and groups data into k number of clusters.



pythonprogramminglanguage.com

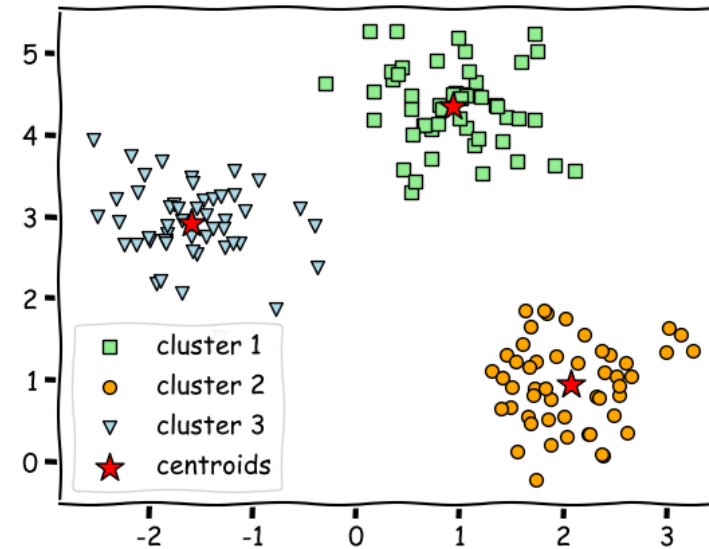
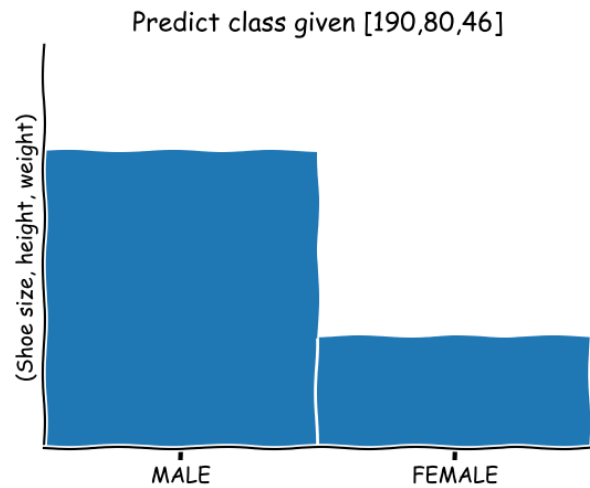
[https://pythonprogramminglanguage.com > how-is-the-k...](https://pythonprogramminglanguage.com/how-is-the-k-...)

k-nearest neighbor algorithm versus k-means clustering - Python

- <https://pythonprogramminglanguage.com/how-is-the-k-nearest-neighbor-algorithm-different-from-k-means-clustering>

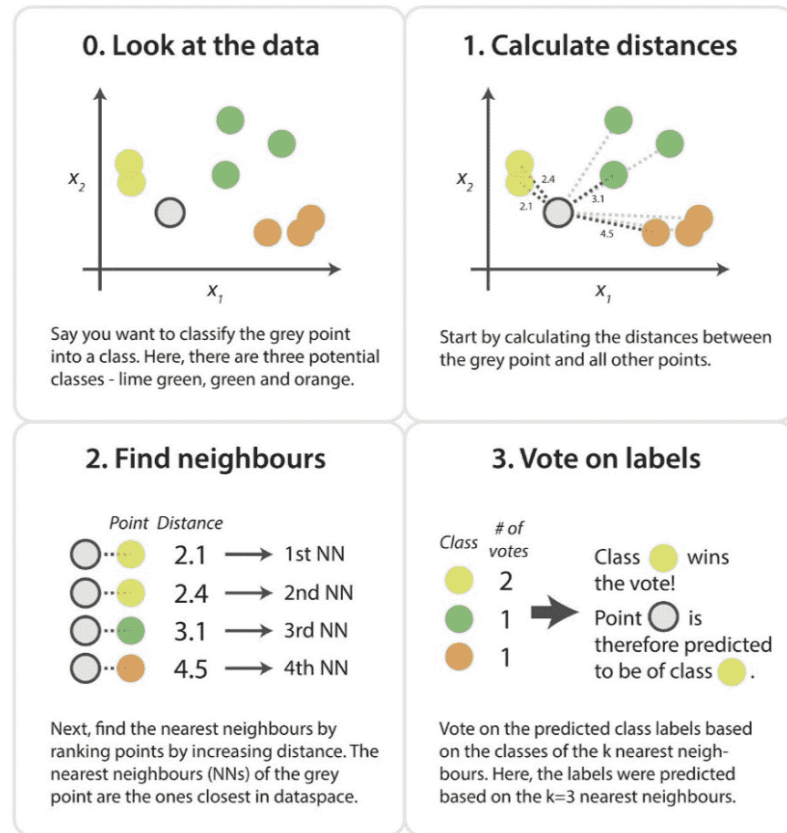
K-means Classification vs Clustering

Thus, k-means needs training data to make predictions.



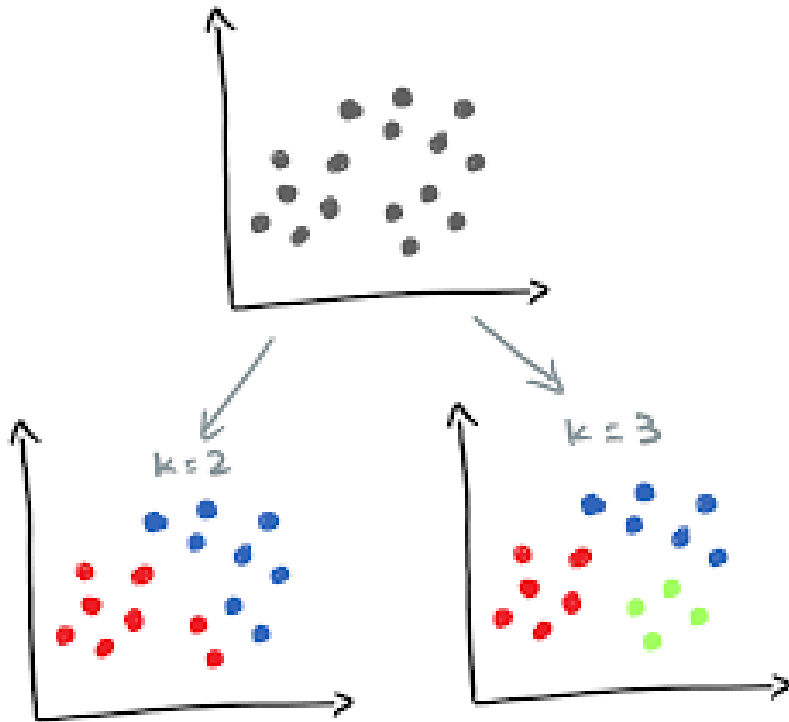
- <https://pythonprogramminglanguage.com/how-is-the-k-nearest-neighbor-algorithm-different-from-k-means-clustering>

KNN - Classification

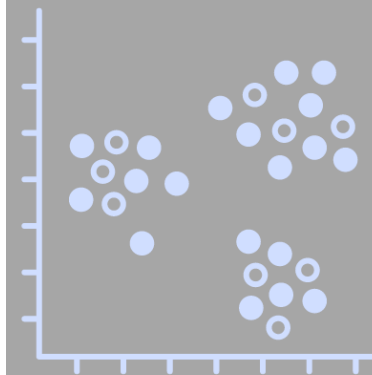


- <https://medium.com/swlh/k-nearest-neighbor-ca2593d7a3c4>

KNN Clustering



5 main steps in K-means clustering algorithm



- 01 Specify the number of clusters "K".
- 02 Randomly initialize the cluster centers (centroids).
- 03 Assign each data point to the closest cluster center.
- 04 Recompute the clusters' center as the mean of all data in that cluster.
- 05 Repeat steps 3 and 4 until the cluster assignment stop changing/maximum iteration is reached.

Zoumana Keita

Distance for KNN Classification

Distance functions

Euclidean

$$\sqrt{\sum_{i=1}^k (x_i - y_i)^2}$$

Manhattan

$$\sum_{i=1}^k |x_i - y_i|$$

Minkowski

$$\left(\sum_{i=1}^k (|x_i - y_i|)^q \right)^{1/q}$$

Distance from

40	220,000	1	8000
33	\$150,000	Y	8000

$$D = \text{Sqrt}[(48-33)^2 + (142000-150000)^2] = 8000.01 \gg \text{Default}=Y$$

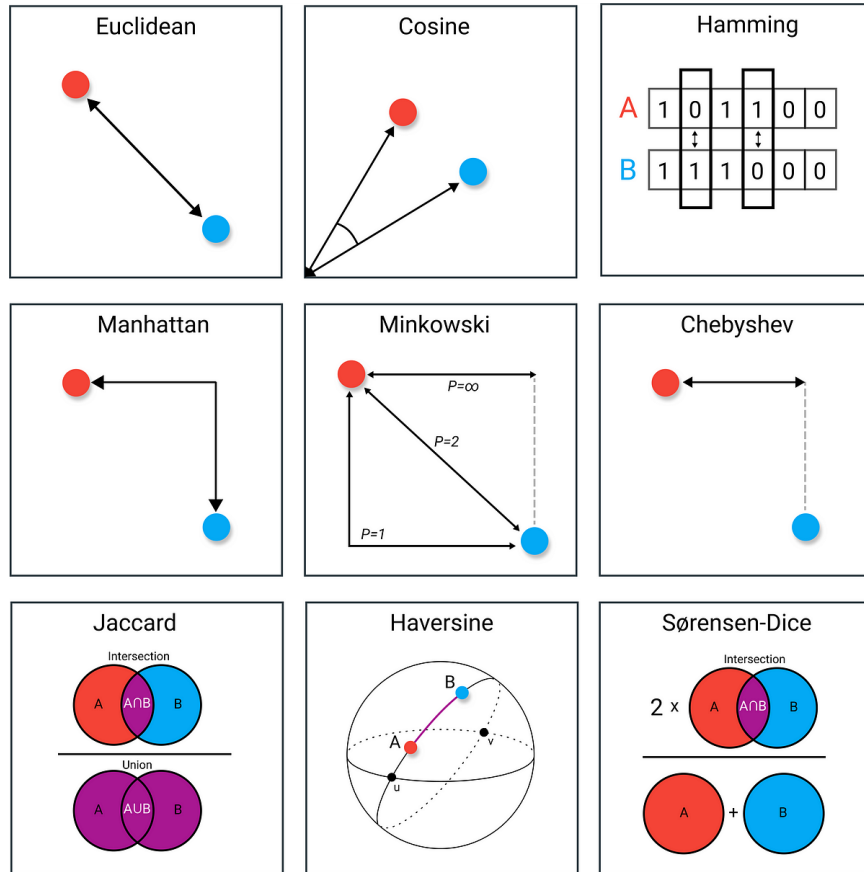
Age	Loan	Default	Distance
25	\$40,000	N	102000
35	\$60,000	N	82000
45	\$80,000	N	62000
20	\$20,000	N	122000
35	\$120,000	N	22000
52	\$18,000	N	124000
23	\$95,000	Y	47000
40	\$62,000	Y	80000
60	\$100,000	Y	42000
48	\$220,000	Y	78000
33	\$150,000	Y	8000
48	\$142,000	?	

Euclidean Distance

$$D = \sqrt{(x_1 - y_1)^2 + (x_2 - y_2)^2}$$

- https://www.saedsayad.com/k_nearest_neighbors.htm

9 Distance Measures

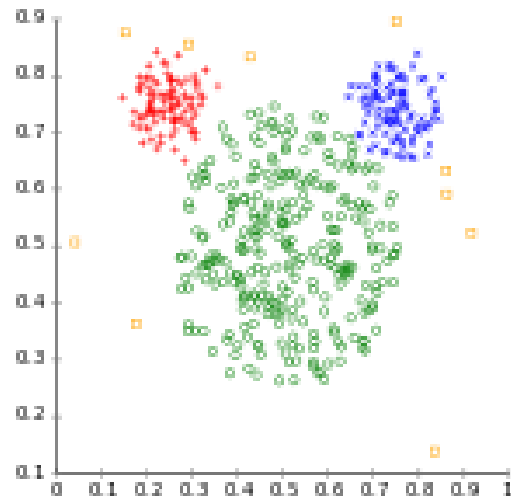


- <https://towardsdatascience.com/9-distance-measures-in-data-science-918109d069fa>

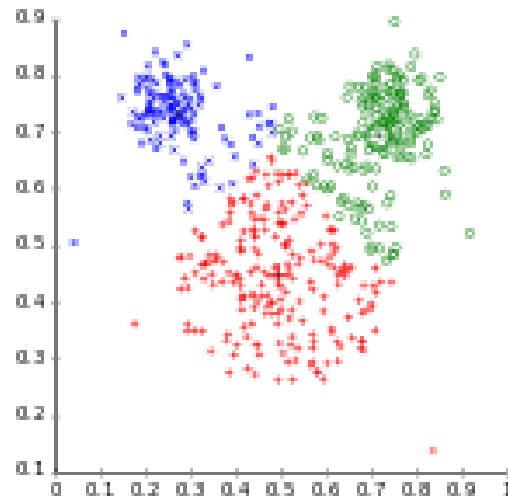
What is K-Means?

Different cluster analysis results on "mouse" data set:

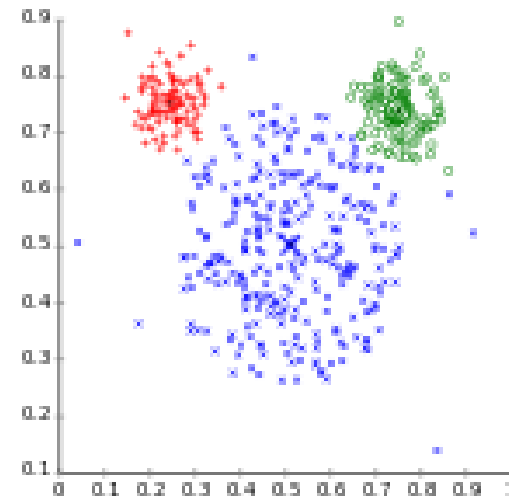
Original Data



k-Means Clustering



EM Clustering



K-Means finds the best centroids by alternating between (1) assigning data points to clusters based on the current centroids (2) choosing centroids (points which are the center of a cluster) based on the current assignment of data points to clusters.

<https://stanford.edu/~cpiech/cs221/handouts/kmeans.html>

- https://en.wikipedia.org/wiki/K-means_clustering
- "K-Means Clustering is an unsupervised learning algorithm that is used to solve the clustering problems in machine learning or data science" <https://www.javatpoint.com/k-means-clustering-algorithm-in-machine-learning>

K-Means Algorithm

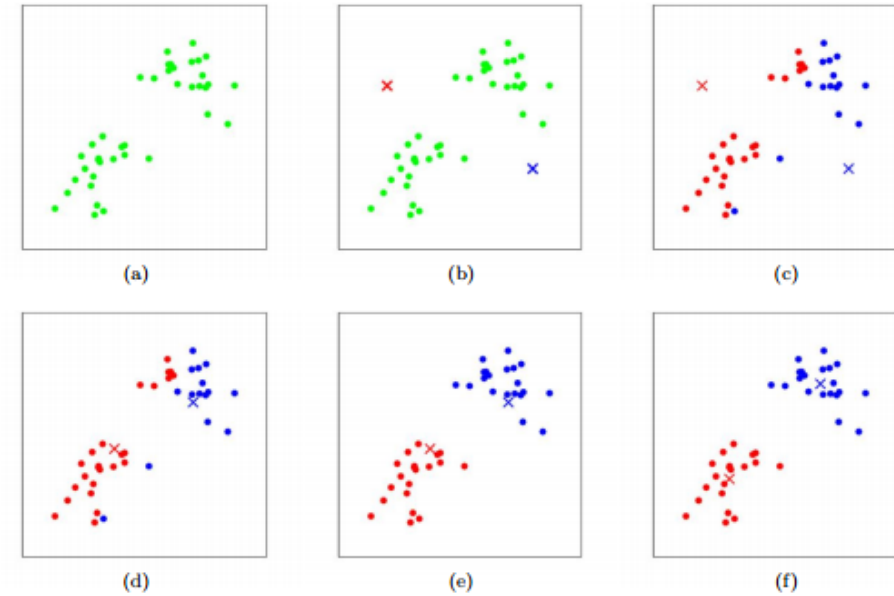


Figure 1: K-means algorithm. Training examples are shown as dots, and cluster centroids are shown as crosses. (a) Original dataset. (b) Random initial cluster centroids. (c-f) Illustration of running two iterations of k-means. In each iteration, we assign each training example to the closest cluster centroid (shown by "painting" the training examples the same color as the cluster centroid to which is assigned); then we move each cluster centroid to the mean of the points assigned to it. Images courtesy of Michael Jordan.

- <https://stanford.edu/~cpiech/cs221/handouts/kmeans.html>

Distances used in K-Means

Euclidean distance

Given two points A and B in d dimensional space such that $A = [a_1, a_2, \dots, a_d]$ and $B = [b_1, b_2, \dots, b_d]$, the Euclidean distance between A and B is defined as:

$$\|A - B\| = \sqrt{\sum_{i=1}^d (a_i - b_i)^2} \quad (1)$$

The corresponding cost function ϕ that is minimized when we assign points to clusters using the Euclidean distance metric is given by:

$$\phi = \sum_{x \in X} \min_{c \in C} \|x - c\|^2 \quad (2)$$

Manhattan distance

Given two random points A and B in d dimensional space such that $A = [a_1, a_2, \dots, a_d]$ and $B = [b_1, b_2, \dots, b_d]$, the Manhattan distance between A and B is defined as:

$$|A - B| = \sum_{i=1}^d |a_i - b_i| \quad (3)$$

The corresponding cost function ψ that is minimized when we assign points to clusters using the Manhattan distance metric is given by:

$$\psi = \sum_{x \in X} \min_{c \in C} |x - c| \quad (4)$$

- [Stackoverflow](#)

Objective Function (to minimize) for K-Means

number of clusters number of cases

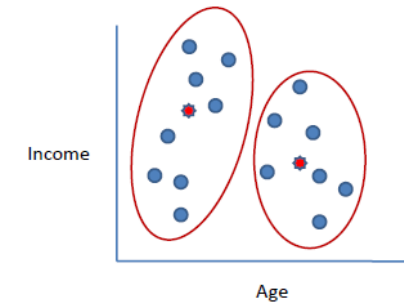
case i

centroid for cluster j

objective function $\leftarrow J = \sum_{j=1}^k \sum_{i=1}^n \underbrace{\|x_i^{(j)} - c_j\|}_\text{Distance function}^2$

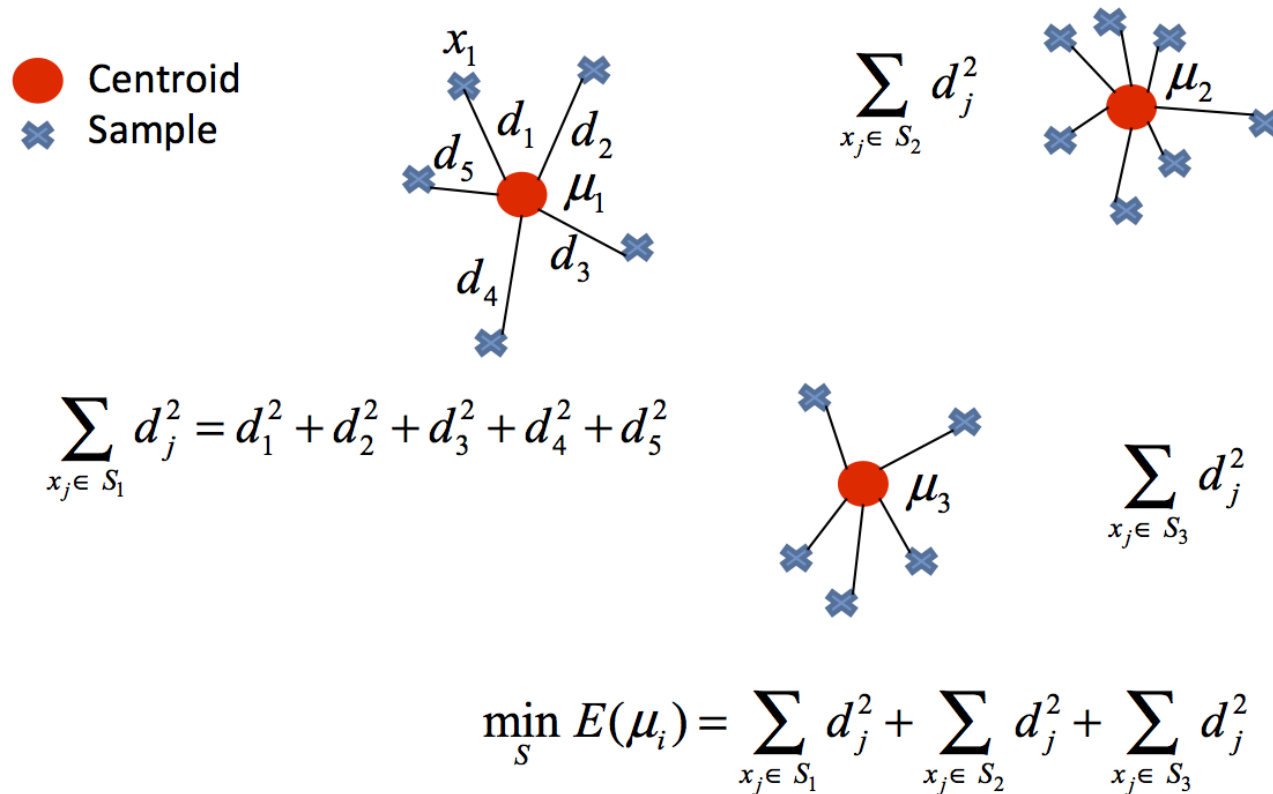
Algorithm

1. Clusters the data into k groups where k is predefined.
2. Select k points at random as cluster centers.
3. Assign objects to their closest cluster center according to the *Euclidean distance* function.
4. Calculate the centroid or mean of all objects in each cluster.
5. Repeat steps 2, 3 and 4 until the same points are assigned to each cluster in consecutive rounds.



- https://www.saedsayad.com/clustering_kmeans.htm

K-Means, Centroid, Minimize Objective Function



- <https://www.unioviedo.es/compnum/labs/PYTHON/kmeans.html>

KNN Classification vs K-Means Clustering

Difference Between K-NN Classification and K-Means Clustering

The ***k-nearest neighbors algorithm*** is a supervised classification algorithm, here we have a target to predict.

Here "***k***" in ***K-Nearest Neighbors*** is the number of neighbors to consider. It is supervised because you are trying to classify a point based on the known classification of other points.

The ***k-means algorithm*** is an unsupervised clustering algorithm. It takes a bunch of unlabeled points and tries to group them into "***k***" number of clusters.

The "***k***" in ***k-means*** denotes the number of clusters you want to have in the end. If $k = 5$, you will have 5 clusters on the data set.

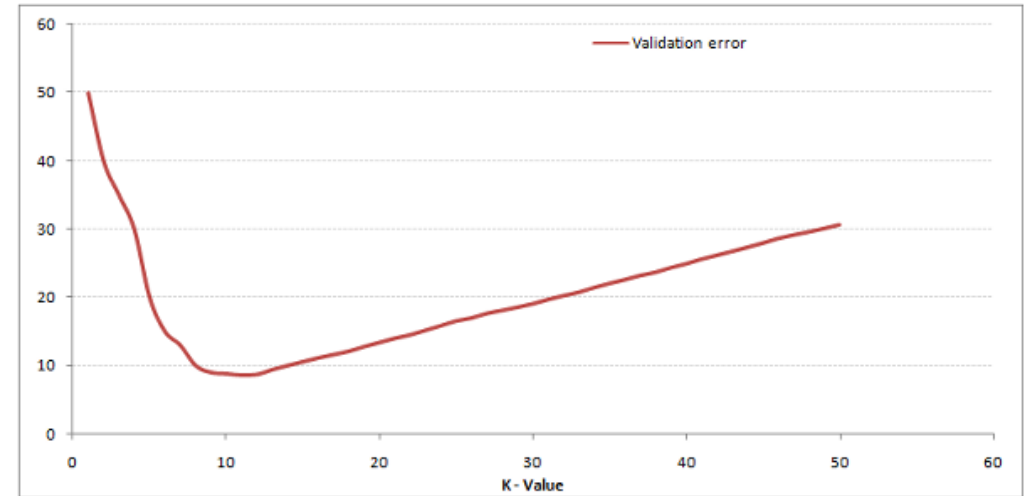
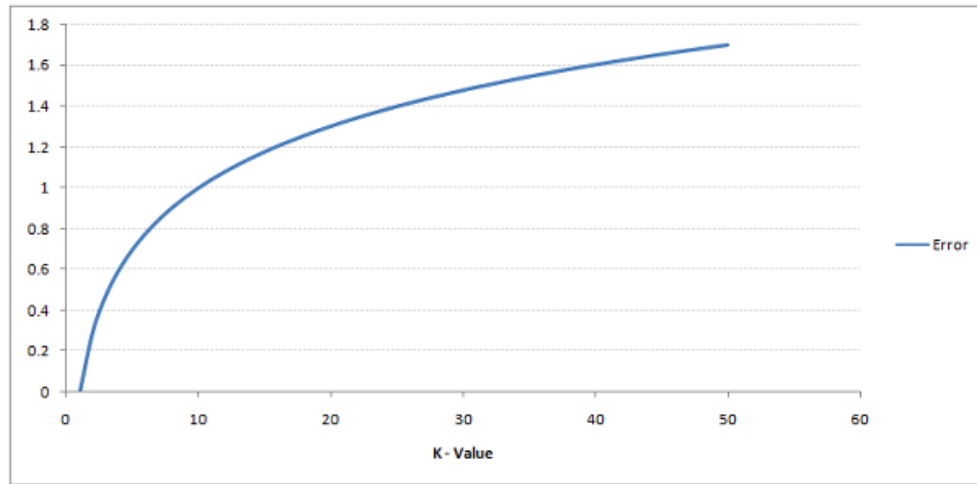
- <https://www.youtube.com/watch?v=QMWMc5Kzq0o>

KNN Classification vs K-Means Clustering

	k-NN	k-Means
Type	Supervised	Unsupervised
Meaning of k	Number of closest neighbors to look at	Number of centroids
Calculation of prediction error	Yes	No
Optimization done using	Cross validation, and confusion matrix	Elbow method, silhouette method
Convergence	When all observations classified at the desired accuracy	When cluster memberships don't change anymore
Complexity	Train: $O(d)$ Test: $O(nd)$ Where: d: Dimensions/features n: Number of observations	$O(nkId)$ Where: n: Number of points k: Number of clusters I: Number of iterations d: Number of attributes

- <https://www.quora.com/What-is-the-difference-between-a-KNN-algorithm-and-a-k-means-algorithm>

Training and Validation Error Rate with increasing K for KNN



- <https://www.analyticsvidhya.com/blog/2018/03/introduction-k-neighbours-algorithm-clustering/>

KNN Deciding about Best K

How do you decide the number of neighbors in KNN? ^

The choice of k will largely depend on the input data as data with more outliers or noise will likely perform better with higher values of k . Overall, it is recommended to have an odd number for k to avoid ties in classification, and cross-validation tactics can help you choose the optimal k for your dataset.



IBM

<https://www.ibm.com/topics/knn> ▼

What is the k-nearest neighbors algorithm? - IBM

- <https://www.ibm.com/topics/knn>

References

- As provided in the slides
- Google Images
- Internet